



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

AF  
3137

Applicant(s): YOUNG et al. Examiner: Francis J. Jaworski  
Serial No.: 10/019,295 Group: Art Unit 3737  
Filed: March 22, 2002 Docket: 1423-2 PCT/US  
For: METHOD AND APPARATUS FOR Dated: May 5, 2004  
FOCUSSING ULTRASONIC ENERGY

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- [ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	14 MINUS 20	=	X 9 \$	X 18 \$ 0	
INDEP.	3 MINUS 3	=	X 40 \$	X 80 \$ 0	
□ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 135 \$	X 270 \$ 0
TOTAL				ADDIT. FEE \$ 0	OR TOTAL

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 5, 2004.

Dated: May 5, 2004

Adrienne Fagan  
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- ☐ Please charge Deposit Account No. 50-2140 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$ 0 is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-2140. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-2140 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

*David M. Carter*

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DMC/GL/af



**EXPEDITED PROCEDURE  
RESPONSE UNDER 37 C.F.R. §1.116**  
Group Art Unit 3737  
PATENT  
Atty. Docket: 1423-2 PCT/US

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**AMENDMENT UNDER 37 C.F.R. §1.116**

Sir:

In response to a Final Office Action of the U.S. Patent and Trademark Office  
mailed on February 5, 2004, please amend the subject application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on  
page 2 of this paper.

**Remarks** begin on page 8 of this paper.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 5, 2004

Adrienne Fagan  
(Name of Person Mailing Envelope)  
Adrienne Fagan  
(Signature of Person Mailing Envelope)